



SFY2019 Area Plan Update – Review

OAA Sec.305(a)(1)(c) and 307(a)(1); Iowa Code 231.23(2); IAC 17-2.3 and 6.2(2)

In accordance with the federal Older Americans Act, Sections 305(a)(1)(c) and 307(a)(1), Iowa Code 231.23(2), and Iowa Administrative Code (IAC) 17-2.3, the Iowa Department on Aging (IDA) has reviewed SFY 2018-2021 Area Plan on Aging

REQUIRED INFORMATION

If an item is marked “No”, the omission will be noted and, where appropriate, the agency must provide the required information by the specified date.

Item	Yes/No
Area Plan Amendment Needed? <i>IAC 17-6.2(6)</i>	
Area Plan Budget <i>IAC 17-6.2(5)b</i>	
Submitted electronically on time? <i>IAC 17-5.9(1) and (2)c; IAC 6.2(2)(b); IAC 17-6.2(5)(b)</i>	
IDA received signed budget cover sheet on time? <i>IAC 17-5.9(1) and (2)c</i>	
Transfers (list and compare to previous years):	
Area Plan Update Narrative	
Submitted on time? <i>IAC 17-5.9(1) and (2)c; IAC 6.2(2)(b)</i>	
Electronically? <i>IAC 17-5.9(1) and (2)c; IAC 6.2(2)(b)</i>	
In accessible format? <i>IAC 17-5.9(1) and (2)b; IAC 6.2(2)(b);</i>	
Followed template, formatting, and edited for clarity? <i>IAC 17-5.9(1) and (2)b; IAC 6.2(2)(b);</i>	
Form 3A-1 provided in plan (Performance and Service Projections section)? <i>IAC 6.2(5)(c)</i>	
Verification of Agency Intent and Compliance: digital signatures provided? <i>Iowa Code 231.32(4)</i>	
Direct Service Waivers	

Item	Yes/No
Were any new Requests for Direct Service made? <i>IAC 17-6.12(1)</i>	
If yes, Public Hearing for new direct service requests? <i>IAC 17- 6.2(7)</i>	
If yes, was appropriate form submitted?	
For which services are direct service waivers requested? <i>IAC 17-6.12(1)</i>	
Are reasons identified valid? <i>IAC 17- 6.12(2)</i>	
Signed by Executive Director? <i>IAC 17-5.9(1) and (2)b; IAC 6.2(2)(b)</i>	
Authorized signatures provided?	
Governing Body <i>Iowa Code 231.33(19); IAC 17—6.7(231)</i>	
Was the Governing Board membership information updated?	
Is Board membership representative of the geographic PSA? <i>IAC 17-6.7(2)</i>	
Did the Board Chair sign the verification of agency intent and compliance & authorized signature documents?	
Advisory Council <i>Iowa Code 231.33(6); IAC 17-6.8 (231)</i>	
Was the Advisory Council membership information updated?	
Did agency indicate whether all composition criteria are met? <i>IAC 17-6.8(1)</i>	
Did Advisory Council Chair sign the verification of agency intent and compliance? <i>IAC 17-6.2(7)(b)(2)</i>	
LLL Advisory Council Updated?	
Grievance procedure information updated? <i>IAC 17-6.10(5)</i>	
If yes, provided?	
Did agency assure that provider information is up to date in SAMS?	
Did agency describe how a focal point is identified in the PSA? <i>Iowa Code 231.33(10)</i>	
Emergency preparedness planning and plan update needed? <i>Iowa Code 231.33(18); IAC 6.9(231)</i>	

Item	Yes/No
<p>If yes, provided?</p> <ul style="list-style-type: none"> • Did agency summarize activities as they relate to emergency preparedness planning and plan activation? • Did agency describe collaboration with other entities, including partners and contractors, as well as emergency response agencies, relief organizations, government agencies or other institutions, when carrying out these activities? 	
<p>Public Hearing <i>Iowa Code 231.33(9); IAC 17-6.2(7)</i></p>	
<p>Public hearing needed? <i>IAC 17-6.2(7)(a)</i></p>	
<p>IF YES:</p>	
<p>Did agency provide a text copy of the public hearing notice & a list of groups to whom the notice was sent and dates? <i>IAC 17-6.2(7)(a)(2)</i></p>	
<p>Was the hearing notice distributed to known groups of older individuals, PSA public officials and other interested parties?</p>	
<p>Did the notice include the time, date, and location of the public hearing?</p>	
<p>Was the notice given 14 business days prior to hearing?</p>	
<p>Did agency provide a copy of the agenda that includes the date, time, and location of the hearing?</p>	
<p>Did agenda include a distinct agenda item for priority services? <i>IAC 17-6.2(7)(a)</i></p>	
<p>If the agency is requesting to provide direct services, did agenda include a distinct agenda item to consider direct services requests? <i>IAC 17-6.2(7)(a)</i></p>	
<p>Did agency provide a list of people present at the hearing?</p>	
<p>Did agency provide a written summary of the public hearing, including comments specific to the services proposed for direct service provision? <i>IAC 17-6.2(7)(a)(3)</i></p>	
<p>Is there any indication the hearing location would not have been fully accessible? <i>IAC 6.2(7)(1)</i></p>	

Area Plan Update Evaluation (Comprehensive & Coordinated Delivery System)

OAA Sect. 306(a)(1); Iowa Code 231.33(1);(2);(5);(17)

Use these questions to evaluate whether the agency is implementing strategies and making progress toward area plan priorities. The area plan must reflect a coordinated service delivery system, be comprehensive enough to guide agency activity during the four-year period, and include effective strategies and measures to evaluate performance in serving older Iowans and Iowans with disabilities.

Plan Clarity

Was the plan edited for clarity and readability?

Update Summary

1. Does the update summary provide an overview of accomplishments, initiatives, or changes that have occurred at the agency since the submission and approval of its SFY 2018 - 2021 Area Plan on Aging?

Progress to Date

- a. Changes related to service delivery, staffing, and/or priorities that impact the implementation of the area plan (if any).
 - b. Accomplishments/Results to Date.
 - c. New, Unexpected Challenges.
 - d. Rationale for modifications to service gaps (if any).
2. Does the summary preview activities, initiatives, or events planned for FY 2019?
Planned for FY 2019:
 - a. Did agency briefly describe major initiatives, activities, or events planned for FY 2019 to address identified service gap.
 3. Did agency provide other information pertinent to educate stakeholders on activities or issues impacting service delivery, the plan, agency, or PSA customers?

Section 1: Update on Strategies to Achieve 2018-2021 Goals

Prioritized Service Gaps:

1. Did agency include brief descriptions of methods used to identify and select service gaps from the approved area plan?
2. Did agency conduct new assessment activities that resulted in changes to prioritized service gaps?
 - a. If yes, did agency describe activities and why the new service gap was deemed to be a higher priority than the previously identified service gap?
 - b. Did agency explain how the decision was made to add or replace and why.

Reports of Progress and Strategies Implementation:

1. Service Gap: Is agency retaining service gap from approved plan?
 - a. If yes, was service gap from your approved plan?

- b. If no, is new service an actual gap (description of difference between current situation and desired situation) that impacts consumers? Or, is it a strategy? Is it measurable? Was rationale for changing included in the Prioritized Service Gaps section?
- 2. Indicators to gauge progress in addressing service gap:
 - a. Did agency list indicators used to evaluate progress on addressing the identified service gap? (Indicators may be a combination of qualitative and quantitative items.)
 - b. Do the indicators relate to the outcome or expected result of the strategies instead of the activities related to the strategy? (For example, the number of referrals from a target population vs. the number of outreach events to the target population.)
- 3. Strategies to Address Service Gap:
 - a. Were strategies from approved plan in each row under the Current Strategies column?
 - b. Did agency add, revise, or remove strategies?
 - i. If yes:
 - 1. Were the strategy changes discussed in the Strategy Activities to Date section?
 - 2. Do the strategies relate to the identified service gaps?
 - 3. Do strategies appear as though they will result in progress toward impacting the service gap?
 - 4. Are there any evident conflicts between strategies and requirements in contracts or rule?
 - ii. Did agency insert the status of the strategy: Not Started, In Progress, Stalled, or Completed?
- 4. Strategy Activities to Date:
 - a. Does the information indicate that agency is making progress on area plan activities and on addressing identified service gaps?
 - b. For strategies in progress or completed, did agency summarize activities that have occurred or will occur this fiscal year (SFY 2018)?
 - c. For strategies not started or stalled, did agency address causes?
- 5. Strategy Activities Planned for SFY 2019:
 - a. Did agency list the strategy activities planned for SFY 2019?
 - b. Does the information indicate that agency is working toward area plan activities and addressing identified service gaps?

Funding Alignment:

- 1. Do service and funding projections align with priorities and strategies?

Performance and Service Projections

Performance Measures & Fiscal Year Target

1. Do targets appear reasonable, achievable, and set a high standard for consumer outcome?
2. Did agency describe activities impacting performance on target to date?
3. Did agency increase / decrease target by [#%]? If yes, did agency indicate reason for change?

Projected Older Americans Act Consumers and Service Units:

1. Is the estimated number of individuals to be served realistic/adequate?
2. Do service projections to members of the target population(s) appear realistic/adequate?
3. How do consumer projections compare with past consumer projections and with actual consumers served?
4. Is the estimated number of units to be provided realistic/adequate?
5. How do unit projections compare with past unit projections and with actual units provided?
6. Does projected funding appear adequate to serve projected number of individuals/units?
7. How does funding projections compare with past projections and with actual expenditures?
8. Compare mandatory service and funding projections. Do the service and funding projections for mandatory services indicate a consumer will have consistent access to the services across the state?

Service Coverage & Wait List Information:

1. Compare service/county coverage information. If changed, did agency provide information regarding service coverage changes?
2. Did agency provide requested waiting list information?
3. Did agency adequately describe approaches used to track waiting list / unmet needs?

Service and Funding Projections

1. Compare service and funding projections for all services across agencies. Which services are not offered statewide? Which services have a wide projections gap among agencies? (Note: Good reasons for service exclusions and variations in service projections may exist; this comparison informs IDA staff on service availability.)

Quality Management

1. Did agency indicate changes to quality management activities? If yes, was updated information provided?

Public Input / Authorized Signatures

1. Refer to the Required Information checklist above.

Grievance Procedures

1. Did agency indicate that it updated the information on how members of the public may obtain the grievance procedures related service provision? If yes, does the updated process appear adequate / accessible to the public?

Nutrition Services, Service Providers, and Senior Center/ Focal Points

1. Did agency assure that nutrition service information is up to date in SAMS?
 - a. Any questions or issues with nutrition service information?
2. Did agency assure that provider information is up to date in SAMS?
 - a. Any questions or issues with service contract providers identified?
 - b. Compare SAMS provider list with services in service coverage by county.
 - c. Compare with direct service waiver request, was contractor listed for service not provided directly?
3. Did agency assure that senior center / focal point information is up to date in SAMS?
4. Did agency update information on how a focal point is identified in the PSA?

Emergency Plan Summary

1. Did agency update emergency preparedness information in plan? If yes, is emergency planning, plan activation, and collaboration information appear to be thorough enough to inform a useful emergency plan?

Final Recommendations

Area Plan Strengths / Items of Interest

List innovative strategies, best practices, or other noteworthy items.

Information Requiring Corrections or Clarifications

List missing required information, corrections, or revisions that must be addressed in order for agency to approve.

Technical Assistance

List potential technical assistance issues or topics.